

Quantum Phase Diagram of Bosons in Optical Lattices

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LMU

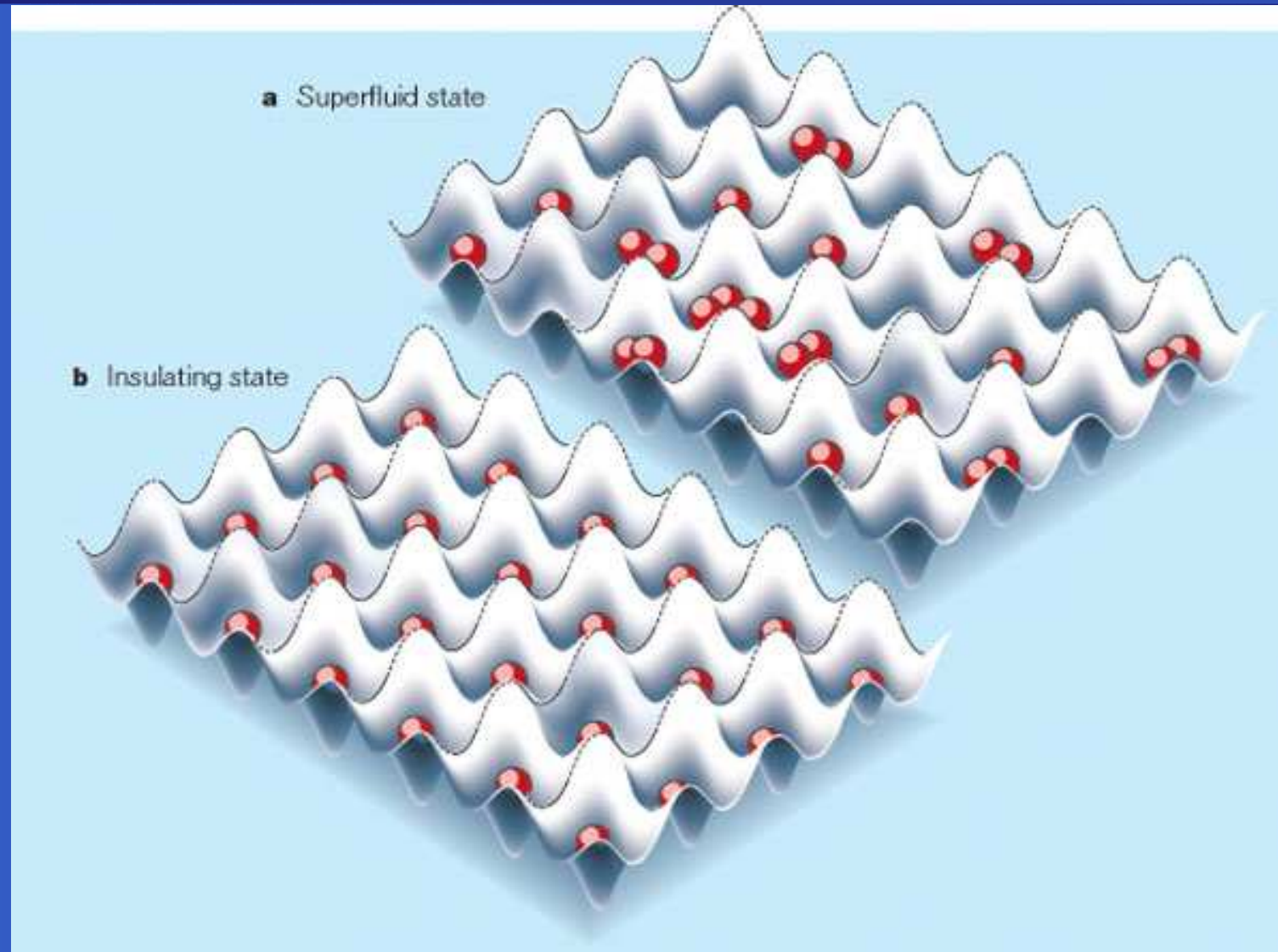
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Outline of the talk

1. Experimental facts
2. Theoretical description
3. Mean-field theory
4. State of the art
5. Effective potential method
6. Green's function method
7. Results
8. Conclusion

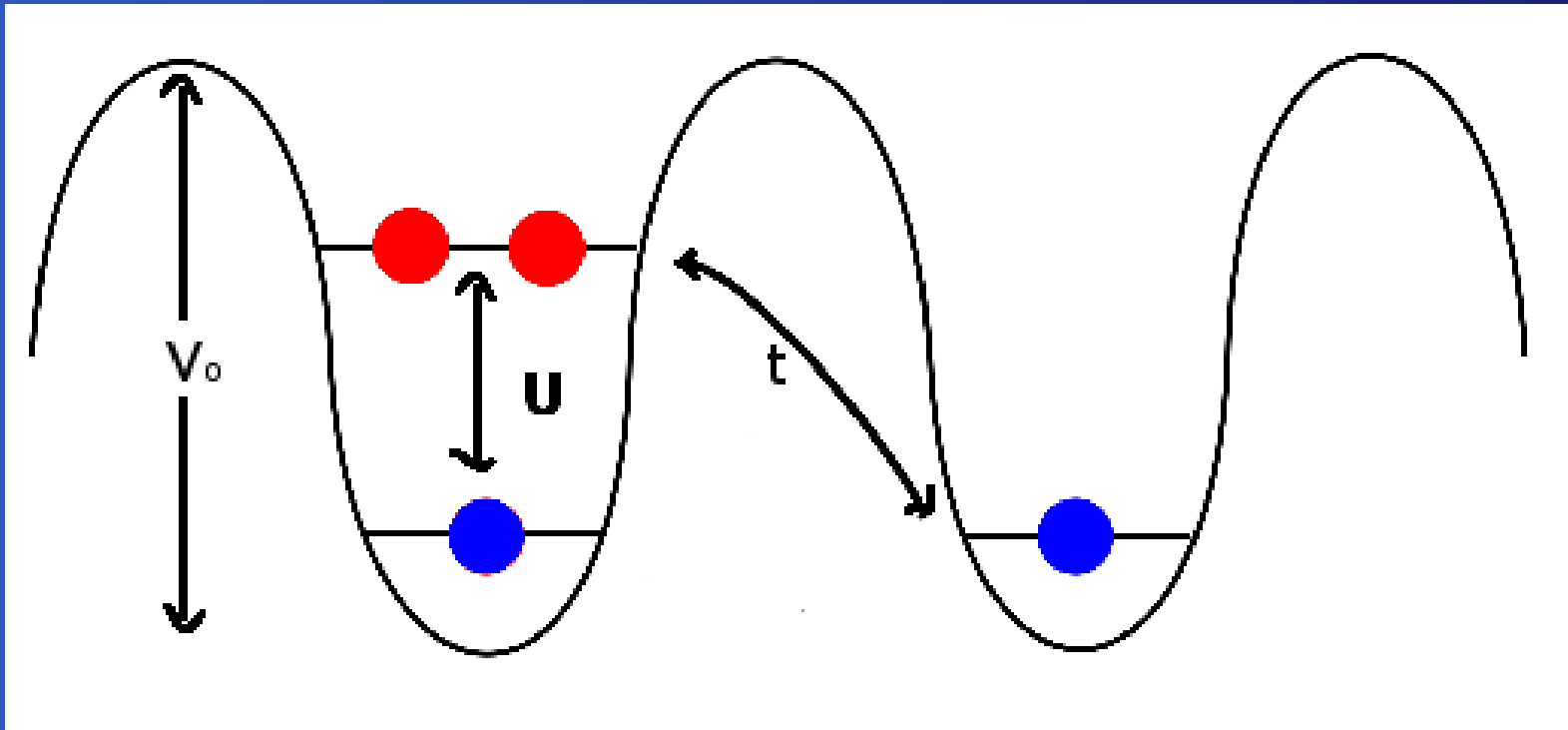
1 - Experimental facts



2 - Theoretical description

Bose-Hubbard Hamiltonian:

$$\hat{H}_{\text{BH}} = -t \sum_{\langle i,j \rangle} \hat{a}_i^\dagger \hat{a}_j + \sum_i \left[\frac{U}{2} \hat{n}_i (\hat{n}_i - 1) - \mu \hat{n}_i \right], \quad \hat{n}_i = \hat{a}_i^\dagger \hat{a}_i$$



3 - Mean-field theory

Bose-Hubbard Hamiltonian:

$$\hat{H}_{\text{BH}} = -t \sum_{\langle i,j \rangle} \hat{a}_i^\dagger \hat{a}_j + \sum_i \left[\frac{U}{2} \hat{n}_i (\hat{n}_i - 1) - \mu \hat{n}_i \right], \quad \hat{n}_i = \hat{a}_i^\dagger \hat{a}_i$$

Ansatz: $\sum_{\langle i,j \rangle} \hat{a}_i^\dagger \hat{a}_j \rightarrow 2d \sum_i (\psi^* \hat{a}_i + \psi \hat{a}_i^\dagger - |\psi|^2)$

Partition function: $Z = \text{Tr} \left[e^{-\beta \hat{H}_{\text{MF}}(\psi^*, \psi)} \right] = e^{-\beta F_{\text{MF}}(\psi^*, \psi)}$

Self-consistency relations:
$$\begin{cases} \frac{\partial F_{\text{MF}}}{\partial \psi} = 0 \\ \frac{\partial F_{\text{MF}}}{\partial \psi^*} = 0 \end{cases} \implies \begin{cases} \langle \hat{a}_i^\dagger \rangle = \psi^* \\ \langle \hat{a}_i \rangle = \psi \end{cases}$$

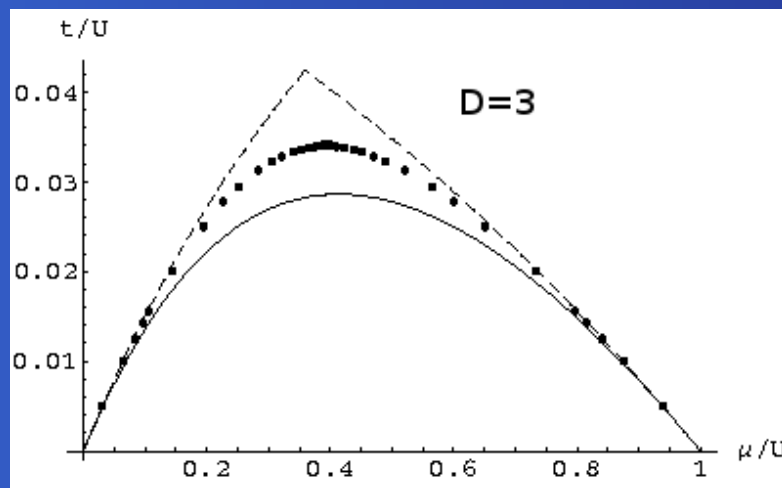
Landau expansion: $F_{\text{MF}}(\psi^*, \psi) = a_0 + a_2 |\psi|^2 + a_4 |\psi|^4 + \dots$

If $a_4 > 0$, then $a_2 = 0$ defines the SF-MI phase boundary.

4 - State of the art

Mean-field result:

$$t_c = U / \left[2d \left(\frac{n+1}{n-b} + \frac{n}{1-n+b} \right) \right], \quad b = \frac{\mu}{U}$$



Dashed: **3rd order strong-coupling**

Phys. Rev. B, 53:2691, 1996

Line: **Mean-field result**

Phys. Rev. B, 40:546, 1989

Dots: **Monte-Carlo data**

Phys. Rev. A, 75:013619, 2007

5 - Effective potential method

Bose-Hubbard Hamiltonian with current:

$$\hat{H}_{\text{BH}}(J^*, J) = \hat{H}_{\text{BH}} + \sum_i \left(J^* \hat{a}_i + J \hat{a}_i^\dagger \right)$$

Partition function: $Z = \text{Tr} \left[e^{-\beta \hat{H}_{\text{BH}}(J^*, J)} \right] = e^{-\beta F_{\text{BH}}(J^*, J)}$

$$\psi = \langle \hat{a}_i \rangle = \frac{1}{N_s} \frac{\partial F(J^*, J)}{\partial J^*} \quad ; \quad \psi^* = \langle \hat{a}_i^\dagger \rangle = \frac{1}{N_s} \frac{\partial F(J^*, J)}{\partial J}$$

Legendre transformation: $\Gamma(\psi^*, \psi) = \psi^* J + \psi J^* - F/N_s$

$$\frac{\partial \Gamma}{\partial \psi^*} = J \quad ; \quad \frac{\partial \Gamma}{\partial \psi} = J^*$$

Physical limit of vanishing current:

$$\frac{\partial \Gamma}{\partial \psi^*} = 0 \quad ; \quad \frac{\partial \Gamma}{\partial \psi} = 0$$

5.1 - Details

$$F(J^*, J) = F_0(t) + \sum_{n=1}^{\infty} c_{2n}(t) |J|^{2n}$$

$$\Gamma(\psi^*, \psi) = -F_0(t) + \frac{1}{c_2(t)} |\psi|^2 - \frac{c_4(t)}{c_2(t)^4} |\psi|^4 + \dots$$

with: $c_p(t) = \sum_{n=0}^{\infty} (-t)^n \alpha_p^{(n)}$

Phase boundary:

$$\frac{1}{c_2(t_c)} = \frac{1}{\alpha_2^{(0)}} \left\{ 1 + \frac{\alpha_2^{(1)}}{\alpha_2^{(0)}} t_c + \left[\left(\frac{\alpha_2^{(1)}}{\alpha_2^{(0)}} \right)^2 - \frac{\alpha_2^{(2)}}{\alpha_2^{(0)}} \right] t_c^2 + \dots \right\} = 0$$

5.2 - Phase boundary

First order: $t_c^{(1)} = -\frac{\alpha_2^{(0)}}{\alpha_2^{(1)}}$

Remark: Identical to mean-field phase boundary.

Second order:

$$t_c^{(2)} = \frac{\bar{\alpha}_1}{2(\bar{\alpha}_2 - \bar{\alpha}_1^2)} + \frac{1}{2(\bar{\alpha}_2 - \bar{\alpha}_1^2)} \sqrt{\bar{\alpha}_1^2 - 4(\bar{\alpha}_1^2 - \bar{\alpha}_2)}$$

with: $\bar{\alpha}_1 = \frac{\alpha_2^{(1)}}{\alpha_2^{(0)}}$; $\bar{\alpha}_2 = \frac{\alpha_2^{(2)}}{\alpha_2^{(0)}}$

Note: Choose the smallest critical $t_c^{(2)}$.

5.3 - Explicit results

$$\alpha_2^{(0)} = \frac{b+1}{U(b-n)(b+1-n)}$$

$$\alpha_2^{(1)} = \frac{2d(b+1)^2}{U^2(b-n)^2(b+1-n)^2}$$

$$\begin{aligned} \alpha_2^{(2)} = & 2 \left\{ 2d(b+1)^3(b-2-n)(b+3-n) + n(b-n)(b+1-n) \right. \\ & \left. \times (1+n)(4+3b+2n) \left[-3 - 2n + 2(b^2 + b - 2bn + n^2) \right] \right\} \\ & / \left[U^3(b-n-2)(b-n)^3(b+1-n)^3(b+3-n) \right] \end{aligned}$$

Here n is the number of particles at each site and $b = \mu/U$.

6 - Green's function method

- Green's function contains many important information about the system:
 - Quantum phase diagram
 - Time-of-flight pictures
 - Excitation spectra
 - Thermodynamic properties

Imaginary-time Green's function:

$$G_1(\tau', j' | \tau, j) = \frac{1}{Z} \text{Tr} \left\{ e^{-\beta \hat{H}_{\text{BH}}} \hat{T} \left[\hat{a}_{j,\text{H}}(\tau) \hat{a}_{j',\text{H}}^\dagger(\tau') \right] \right\}$$

with $\hat{a}_{j,\text{H}}(\tau) = e^{\hat{H}\tau/\hbar} \hat{a}_j e^{-\hat{H}\tau/\hbar}$

and $Z = \text{Tr} \left[e^{-\beta \hat{H}_{\text{BF}}} \right] = e^{-\beta F_{\text{BH}}}$.

6.1 - Decomposition

$$\hat{H}_{\text{BH}} = \underbrace{- \sum_{i,j} t_{i,j} \hat{a}_i^\dagger \hat{a}_j}_{\text{perturbation}} + \underbrace{\sum_i \left[\frac{U}{2} \hat{n}_i (\hat{n}_i - 1) - \mu \hat{n}_i \right]}_{\hat{H}^{(0)}}, \quad \hat{n}_i = \hat{a}_i^\dagger \hat{a}_i$$

Expansion in hopping matrix element:

$$G_1^{(n)}(\tau', i' | \tau, i) = \frac{Z^{(0)}}{Z} \frac{1}{n!} \sum_{i_1, j_1, \dots, i_n, j_n} t_{i_1 j_1} \dots t_{i_n j_n} \int_0^\beta d\tau_1 \dots \int_0^\beta d\tau_n \\ \times G_{n+1}^{(0)}(\tau_1, j_1; \dots; \tau_n, j_n; \tau', i' | \tau_1, i_1; \dots; \tau_n, i_n, \tau, i)$$

Decomposition into *local* cumulants:

$$G_2^{(0)}(\tau'_1, i'_1; \tau'_2, i'_2 | \tau_1, i_1; \tau_2, i_2) = \delta_{i_1, i_2} \delta_{i'_1, i'_2} \delta_{i_1, i'_1} C_2^{(0)}(\tau'_1, \tau'_2 | \tau_1, \tau_2) \\ + \delta_{i_1, i'_1} \delta_{i_2, i'_2} C_1^{(0)}(\tau'_1 | \tau_1) C_1^{(0)}(\tau'_2 | \tau_2) + \delta_{i_1, i'_2} \delta_{i_2, i'_1} C_1^{(0)}(\tau'_2 | \tau_1) C_1^{(0)}(\tau'_1 | \tau_2)$$

6.2 - Diagrammatic representation

$$\begin{aligned}
 \begin{array}{c} \xrightarrow{\tau'} \\ \bullet \\ \xrightarrow{\tau} \end{array} &= C_1^{(0)}(\tau'|\tau), & \begin{array}{c} \xrightarrow{\tau_2'} \\ \bullet \\ \xrightarrow{\tau_1'} \end{array} &= C_2^{(0)}(\tau_1', \tau_2'|\tau_1, \tau_2), \\
 \xrightarrow{\quad} &= t_{ij}
 \end{aligned}$$

In Matsubara space with $E_n = \frac{U}{2}n(n-1) - \mu n$:

$$C_1^{(0)}(\omega_m) = \frac{1}{Z^{(0)}} \sum_{n=0}^{\infty} \left[\frac{(n+1)}{E_{n+1} - E_n - i\omega_m} - \frac{n}{E_n - E_{n-1} - i\omega_m} \right] e^{-\beta E_n}$$

First two orders of perturbation series:

$$G_1^{(1)}(\omega_m; i, j) = \begin{array}{c} \xrightarrow{\omega_m} \\ \bullet \\ \xrightarrow{\omega_m} \\ \bullet \\ \xrightarrow{\omega_m} \end{array} = t \delta_{d(i,j),1} C_1^{(0)}(\omega_m)^2$$

$$G_1^{(2)}(\omega_m; i, j) = \begin{array}{c} \xrightarrow{\omega_m} \\ \bullet \\ \xrightarrow{\omega_m} \\ \bullet \\ \xrightarrow{\omega_m} \\ \bullet \\ \xrightarrow{\omega_m} \end{array} + \begin{array}{c} \omega_1 \\ \bullet \\ \omega_1 \\ \bullet \\ \omega_m \\ \bullet \\ \omega_m \end{array}$$

$$\begin{aligned}
 &= t^2 (\delta_{d(i,j),2} + 2\delta_{d(i,j),\sqrt{2}} + 2d\delta_{i,j}) C_1^{(0)}(\omega_m)^3 \\
 &+ t^2 2d\delta_{i,j} \sum_{\omega_1} C_1^{(0)}(\omega_m) C_2^{(0)}(\omega_m, \omega_1|\omega_m, \omega_1)
 \end{aligned}$$

6.3 - Resummation

First-order:

$$\tilde{G}_1^{(1)}(\omega_m; i, j) = \begin{array}{c} \text{---} \rightarrow \bullet \text{---} \rightarrow \\ \omega_m \quad \omega_m \end{array} + \begin{array}{c} \text{---} \rightarrow \bullet \text{---} \rightarrow \bullet \text{---} \rightarrow \\ \omega_m \quad \omega_m \quad \omega_m \end{array} + \begin{array}{c} \text{---} \rightarrow \bullet \text{---} \rightarrow \bullet \text{---} \rightarrow \bullet \text{---} \rightarrow \\ \omega_m \quad \omega_m \quad \omega_m \quad \omega_m \end{array} + \dots$$

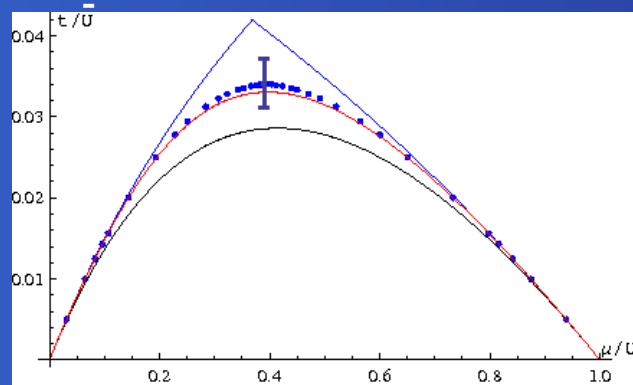
Easily summed in Fourier space:

$$\tilde{G}_1^{(1)}(\omega_m, \mathbf{k}) = \frac{C_1^{(0)}(\omega_m)}{1 - t(\mathbf{k}) C_1^{(0)}(\omega_m)}, \quad t(\mathbf{k}) = 2t \sum_{l=1}^d \cos(k_l a)$$

- Phase boundary given by divergency of $G_1(\omega_m = 0; \mathbf{k} = \mathbf{0})$.
- First-order result reproduces mean-field result
- Improved by taking one-loop diagram into account.
Reproduces in zero-temperature limit result of effective potential approach.

7 - Results

Phase diagram for zero temperature:



Error bar: Extrapolated strong-coupling series.

Black line: Mean-field.

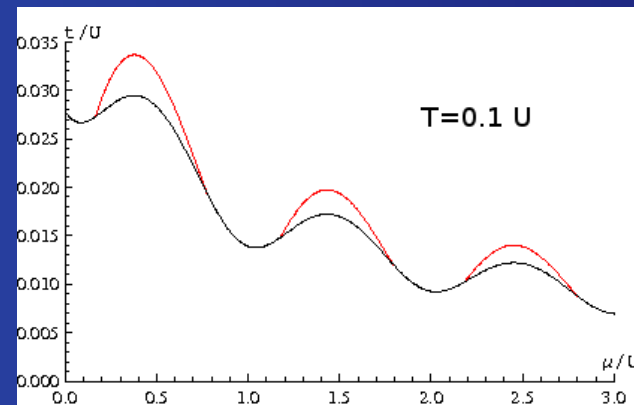
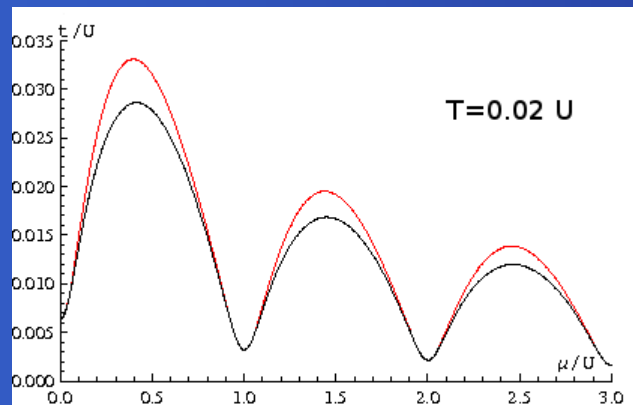
Blue line: 3rd strong-coupling order.

Red line: Effective potential:

Santos and Pelster, arXiv:0806.2812

Blue dots: Monte-Carlo data.

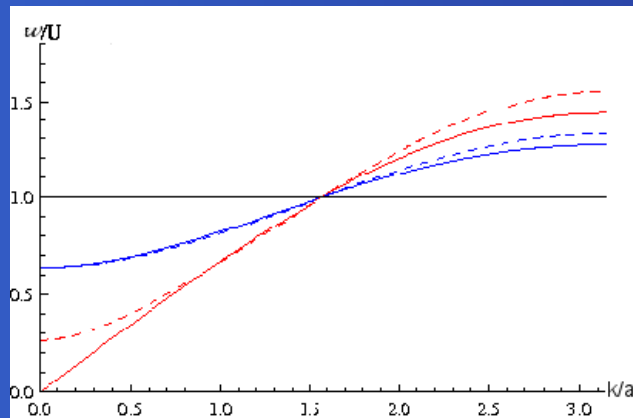
Phase diagram for finite temperature:



Black: First order (Mean field). Red: Second order (One-loop corrected)

7.1 - More Results

Excitation spectrum:



Solid black: $t = 0$.

Solid blue: $t = 0.017 U$ (first order).

Dotted blue: $t = 0.017 U$ (second order).

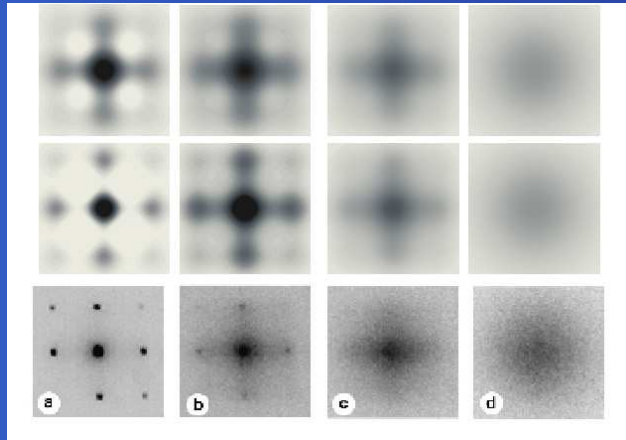
Solid red: $t = 0.029 U$ (first order).

Dotted red: $t = 0.029 U$ (second order).

- Excitation spectrum given by poles of real-time Green's function
- Spectrum gapped in Mott phase, becomes gapless at phase boundary
- Only quantitative effects from finite temperature

7.2 - More Results

Time-of-flight pictures:



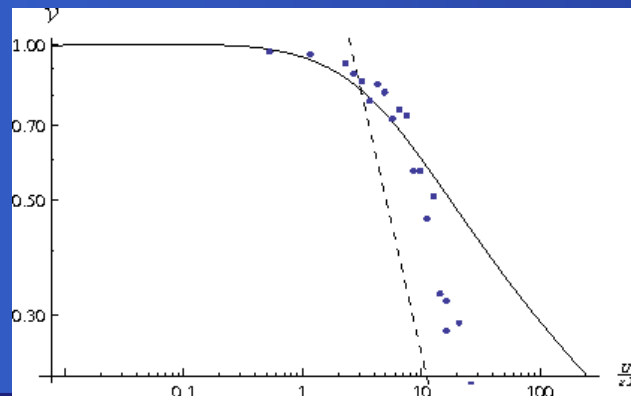
Top to bottom: First-order perturbation theory, Second-order perturbation theory, experiment.

Left to right: $V_0 = 8, 14, 18, 30E_R$.

Time-of-flight pictures represent momentum distribution:

Hoffmann and Pelster, [arXiv:0809.0771](https://arxiv.org/abs/0809.0771)

Visibility:



Measure for interference patterns in TOF pictures

$$V = \frac{n_{\max} - n_{\min}}{n_{\max} + n_{\min}}$$

Solid: First-order (Wannier functions)

Dashed: First-order (harmonic approximation)

Dots: Experimental data (Bloch's group)

8 - Conclusions

- Monte-Carlo data are believed to be very precise
- Effective potential method gives a difference of 3% from the Monte-Carlo data at the lobe tip.
- Green's function approach allows the calculation of visibility and excitation spectrum. They are candidates for thermometer as they are measurable quantities.
- Effective action method:
Bradlyn, Santos, and Pelster, arXiv:0809.0706
- Dynamic quantum phase transition – Collapse and Revival